



GAY-LUSSAC  
GESTION

ALIGNMENT  
WITH SFDR  
REGULATION

July 2021



## Alignment of Gay-Lussac Gestion's UCIs with the SFDR Regulation (EU Regulation 2019/2088 of the European Parliament and of the Council of 27 November 2019 on sustainability reporting in the financial services sector)

The European Sustainable Finance Disclosure Regulation (SFDR) came into force on March 10, 2021. This regulation establishes harmonized rules for financial market players regarding transparency on the integration of sustainability risks (article 6), the consideration of negative sustainability impacts, the promotion of environmental or social characteristics in the investment process (article 8) or the sustainable investment objectives (article 9).

In order to meet these transparency requirements, Gay-Lussac Gestion has updated the pre-contractual documentation of the funds it manages as follows:

- ☐ The funds classification in categories that include extra-financial criteria, reflecting the responsible and committed investor approach of the management company:

Type of UCI	Article 9	Article 8	Article 6
	Products that aim towards sustainable investment	Products that promote environmental, governance and/or social attributes	Transparent products on sustainability risk integration
Mutual funds	-	☐ Gay-Lussac Green Impact	<ul style="list-style-type: none"> <li>☐ Gay-Lussac Microcaps</li> <li>☐ Gay-Lussac Smallcaps</li> <li>☐ Gay-Lussac Europe Flex</li> <li>☐ Gay-Lussac Heritage</li> </ul>
Employee savings funds	-	<ul style="list-style-type: none"> <li>☐ Gay-Lussac Interentreprises, FCPE Solidaire</li> <li>☐ Gay-Lussac Actions Responsabilité Sociale, FCPE Solidaire</li> </ul>	<ul style="list-style-type: none"> <li>☐ Gay-Lussac Sécurité</li> <li>☐ Gay-Lussac Obligations</li> <li>☐ Gay-Lussac Actions</li> <li>☐ Gay-Lussac Fonds dédiés</li> </ul>

- ☐ Consideration of sustainability risk (defined as an environmental, social or governance event or condition that, if it occurs, could have a material adverse effect, actual or potential, on the value of the investment) in the management processes.

These regulatory advances, especially at the European level, promote responsible investment and argue for greater transparency and ESG requirements.

*We wish to eventually change the classification of mutual funds\** with respect to SFDR regulations in order to reinforce our SRI commitment and our environmental impact measurement methodology, specifically for Gay-Lussac Green Impact (Art. 9), Gay-Lussac Microcaps (Art. 8) and Gay-Lussac Smallcaps (Art. 8).

*This future classification would be articulated as follows:*

Type of UCI	Article 9	Article 8	Article 6
	Products that aim towards sustainable investment	Products that promote environmental, governance and/or social attributes	Transparent products on sustainability risk integration
Mutual funds	<ul style="list-style-type: none"> <li>📌 Gay-Lussac Green Impact</li> </ul>	<ul style="list-style-type: none"> <li>📌 Gay-Lussac Microcaps</li> <li>📌 Gay-Lussac Smallcaps</li> </ul>	<ul style="list-style-type: none"> <li>📌 Gay-Lussac Europe Flex</li> <li>📌 Gay-Lussac Heritage</li> </ul>
Employee savings funds	-	<ul style="list-style-type: none"> <li>📌 Gay-Lussac Interentreprises, FCPE Solidaire</li> <li>📌 Gay-Lussac Actions Responsabilité Sociale, FCPE Solidaire</li> </ul>	<ul style="list-style-type: none"> <li>📌 Gay-Lussac Sécurité</li> <li>📌 Gay-Lussac Obligations</li> <li>📌 Gay-Lussac Actions</li> <li>📌 Gay-Lussac Fonds dédiés</li> </ul>

\*These are projections of category changes, not effective at the present time.

The Key Investor Information Documents (KIID) and the Prospectuses updated to this regulation are available on the Gay-Lussac Gestion website <https://www.gaylussacgestion.com/> or on request to the Management Company.

## Disclaimer :

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